

Corporate Actions

Exchange Agent Services



Stock-for-Stock Exchanges

Mellon Investor Services has handled some of the largest stock-for-stock exchanges in the industry.

Related Services

- Communications
- Printing and Logistics
- Transfer Agent
- Information Agent
- EDGAR Filing
- StockWatch

Mellon Investor Services has the professional expertise and technical resources to handle even the largest and most complex exchanges for stock or for stock and cash. Some exchanges include the payment of fractional share entitlements. Mellon Investor Services offers the complete suite of services necessary for the successful, timely and accurate completion of these exchanges. Our services include initial communications with shareholders, printing and logistics of exchange materials, call center operations, record keeping, certificate handling and tax reporting. Mellon Investor Services, as Transfer Agent and Exchange Agent, can issue appropriate stock certificates and, if required, make cash-in-lieu payments for fractional shares. Cash-in-lieu rates can be determined by the company or through a sale of aggregated fractional shares by Mellon Investor Services. The state-of-the-art processing system utilized by Mellon Investor Services expedites the process and ensures the highest level of accuracy in the exchange process.

Mellon Investor Services, a single-source provider, has the integrated solutions to provide suites of products and services to meet the diverse needs of its clients. Mellon Investor Services has the industry expertise to act in the capacity of Transfer Agent, Information Agent, and Printing and Logistics provider; however, even when Mellon Investor Services is not the transfer agent, many companies turn to our exchange agent expertise to handle their transactions.

Client Benefits

- *Quality* ~ Mellon Investor Services has repeatedly been ranked first among its peers in Group Five, Inc. quality surveys.
- *Outstanding Processing System* ~ Mellon Investor Services' processing system is specially designed to help ensure timely and accurate processing of stock exchanges, while managing the complexities of physical and book holders, DR plans and DTC.
- *Experience* ~ Mellon Investor Services has more experience as an Exchange Agent than any other company.
- *Exchange Processing* ~ From design of the processing documents and establishing the tender website or Interactive Voice Response system, to handling and processing employee items and reporting details to the company, Mellon Investor Services' expertise at processing Corporate Actions ensures a successful outcome for the event.
- *Analysis* ~ Through our StockWatch Group, clients can obtain detailed analysis on the projected behaviors of institutional investors after the exchange.



Mellon

A Wealth of SolutionsSM

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Regional Offices

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