

# Book-Entry Services

## *Moving Shares Electronically Through DRS/Profile*

The Direct Registration System/Profile System (DRS/Profile) is an “electronic highway” that allows investors to move book-entry shares electronically from “street name” to registered on the books of the corporation, or from registered to “street name”. DRS/Profile eliminates the physical movement of stock certificates at the time of sale or transfer of ownership. Under the DRS/Profile system, an issuer or its Transfer Agent is permitted to issue full and fractional book-entry shares.

The advantage? DRS/Profile shares are in book-entry form, minimizing expenses related to overall stock issuance. A coordinated rollout of the DRS/Profile system by Mellon Investor Services reduces the cost of physical certificates, insurance and processing. This is particularly relevant to Corporate Action distributions such as stock splits, stock dividends, odd-lot programs and spin-offs.

Mellon Investor Services can assist in designing the most appropriate shareholder notifications. Using our Printing and Logistics services, we can print and mail the documentation directly to your shareholders. We can also provide all the necessary documentation to initiate DRS/Profile registration. Our end-to-end service capability addresses all DRS/Profile contingencies.

### **Key Benefits**

- *Simplified Transactions* ~ With DRS/Profile, investors wanting to convert their physical certificates to book-entry (DRS/Profile or “street name”) ownership can process a transaction or trade more easily.
- *Self-service* ~ Shareholders and corporate clients have the ability to self-service their accounts through Internet access and interactive voice response software.
- *Reporting* ~ Confirmations for every transaction and annual statements of accounts are provided to book-entry shareholders.
- *Cost Effectiveness* ~ You can realize additional savings in the event of a merger or acquisition, which can more easily and cost effectively be tendered and exchanged. In time, the DRS/Profile system can potentially eliminate the need for stock certificate replacement.
- *Future Readiness* ~ Mellon Investor Services is, and intends to continue to be, a leader in the DRS/Profile and Trade Date Plus 1 (T+1) initiatives. As the industry moves forward with these initiatives, it will become increasingly important to minimize the use of physical certificates. Mellon is committed to ensuring that your company and your shareholders are well positioned when these initiatives are adopted.

### **Book-Entry Services – DRS/Profile**

Mellon Investor Services can seamlessly coordinate the introduction of DRS/Profile, an efficient, cost-effective and paperless stock transfer process.

### **Related Services**

- Dividend Reinvest DIRECT<sup>SM</sup>
- Direct Stock Purchase Plans
- Principal Transfer Agent
- Printing and Logistics
- Employee Stock Purchase Plans
- ACH Processing
- American Depositary Receipts (ADR)
- Co-Transfer Agent
- Custodial Services
- Dividend Disbursing Agent
- Escrow Services



**Mellon**

*A Wealth of Solutions<sup>SM</sup>*

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